

Bureau of Industry and Security AES Seminar

Export Administration Regulations (EAR) Compliance in AES EEI Filings

Dallas Fort-Worth, TX



Agenda Topics

- Introduction to BIS
- Determining BIS Export Control Requirements
 - Identifying a License Requirement:
What, Where, Who, and How?
 - Using the Correct Designation:
BIS Licenses, License Exceptions, and NLR
 - State Department license exemption
- Properly Reporting Commerce License Types in AES
- Additional Resources and Questions



Mission of the Bureau of Industry and Security

- We administer the EEA through the EAR

Advance the national security, economic interests, and foreign policy of the United States

- ✓ Develops export control policies
- ✓ Issues export licenses
- ✓ Prosecutes violators to heighten national security
- ✓ Develops & implements programs that ensure a technologically superior defense industrial base



Office of Technology Evaluation Mission

Maintain & enhance national and economic security by:

- Analyze export license and trade data;
- Conducting primary research & analysis on critical technologies;
- Monitor the effect of the dual-use export control system on U.S. interests;
- Investigate the effects of imports on national security;
- Analyze foreign availability of critical products and technologies
- Determine if measures are needed to protect the U.S. economy from supply shortages;
- Administer Technical Advisory Committees.



Develop or Inform Export Control Policy Decisions

- **Change the Export Control System and Enhance National Security by:**
 - Transitioning items that no longer warrant control on the State Department United States Munitions List (USML) to the Commerce Department's Commerce Control List (CCL)
 - Improving interoperability with allied countries
 - Strengthening the U.S. industrial base
 - Allowing export control officials to focus government resources on transactions that pose greater concern
- **Removal of the license requirement for crude oil exports**



Why?

Reasons for Controls:

Multi-lateral- Regime /
International Convention-Based

Unilateral- United States
Government determination

CW = Chemical Weapons Convention
CB = Chemical & Biological Weapons
NP = Nuclear Nonproliferation
NS = National Security
MT = Missile Technology
FC = Firearms Control
UN = United Nations Embargo
EI = Encryption Item

CC = Crime Control
AT = Anti-Terrorism
RS = Regional Stability
SI = Significant Item
SL = Surveillant Listening
SS = Short Supply



Types of Commerce Export Designations

*Transactions
Subject to a License
Requirement*

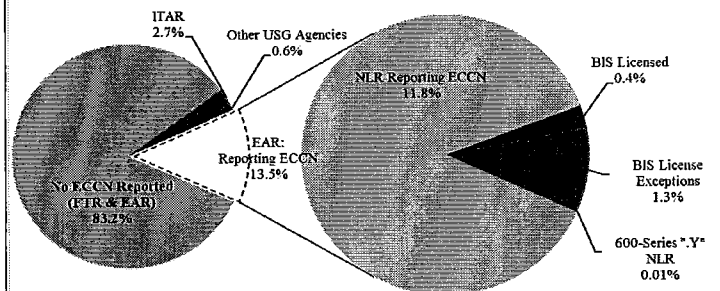
- 1) **Licensed Exports**
AES License Type Codes C30
- 2) **License Exceptions**
AES License Type Codes C35-C59
- *NEW* C62 Support for the Cuban People

*Transactions NOT
Subject to a License
Requirement*

- 3) **No License Required (NLR) & DY6**
— AES License Type Codes C33 and C60



Primary Jurisdiction of 2017 U.S. Exports to the World

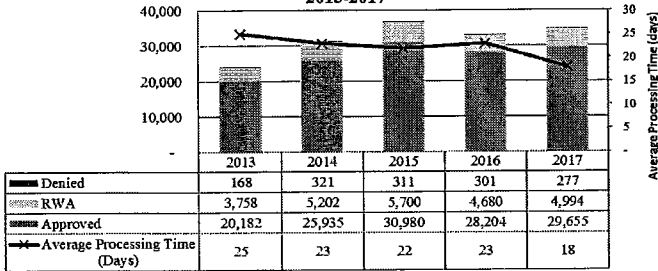


AES transaction data for 2017 shows over 30 million export shipments worth \$1.6 trillion, of which only 1.7% were subject to a BIS license requirement.



Export License Application Trends

BIS Licenses for Tangible Items, Software and Technology for the World by License Count 2013-2017



Source: Commerce U.S. Exports Exporter Support System, February 9, 2018

- Deemed Export licenses not included



How do I Identify a License Requirement?

What is it?

Where is it going?

Who will receive it?

What is the end use?



What is the item?

- NEVER ASSUME YOUR ITEM IS NOT CONTROLLED BASED ON A LACK OF INFORMATION!

On the Commerce Control List

- Items listed on the CCL have an Export Control Classification Number (ECCN) and are described in terms of technical parameters and characteristics.

Other Partner Agency's List

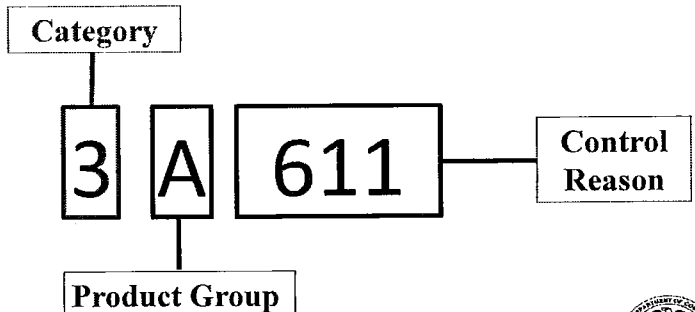
- Items may be subject to jurisdiction of the
 - Departments of State, (Commodity Jurisdiction – no charge)
 - Department of Energy,
 - Department of Treasury (OFAC),
 - Others.

Not Listed

- If not on CCL or another agency's list, report EAR99.
 - By Using EAR99 you are informing BIS that you have gone through the classification process and you have determined that your item is not listed on our list



Export Control Classification Number (ECCN) Components



Structure of the ECCN

Categories

0	Nuclear & Miscellaneous
1	Materials, Chemicals, Microorganisms, & Toxins
2	Materials Processing
3	Electronics
4	Computers
5	Telecommunications & Information Security
6	Sensors & Lasers
7	Navigation & Avionics
8	Marine
9	Aerospace & Propulsion

Product

A	Systems, Equipment, & Components
B	Test, Inspection, & Production Equipment
C	Materials
D	Software
E	Technology

- A - finished product
- B - used to make the item
- C - raw materials
- D - computer software/code
- E - idea

Control Reasons

000-099	National Security
100-199	Missile Technology
200-299	Nuclear Nonproliferation
300-399	Chemical & Biological
500-599	National Security
600-699	Wassenaar / former USML
900-999	Anti-terrorism, Crime Control, Regional Stability, Short Supply, UN



Item not Listed? EAR99

* EAR99 items generally consist of low-technology commercial goods and do not require a license



- Items not specified on the CCL or another agency's list
- Most commercial items

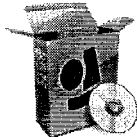


Remember: Even EAR99 items can be restricted to certain countries, end-users, or end uses. Check all parties to the transaction, the end-use of the item and restricted destinations! See Part 736 of the EAR.

EAR99 items subject to the EAR that are not elsewhere specified in this CCL category or in any other category in the CCL are designated by the number EAR99.



What is the ECCN Category and Product Group?



Encryption Software



Common items?

Top 10 Commodities by value Exported from the Dallas Fort-Worth International Airport - 2017 by Harmonized Schedule 6-Digit Description

- 880000 Civilian Aircraft, Engines, And Parts
- 300490 Medicaments Nesoi, Measured Doses, Retail Pk Nesoi
- 901890 Instr & Appl F Medical Surgical Dental Vet, Nesoi
- 851762 Mach For Recp/convr/trans/regn Of Voice/image/data
- 300215 Immunological Products, In Measured Dose/rtl Sale
- 847330 Parts & Accessories For Adp Machines & Units
- 854239 Electronic Integrated Circuits, Nesoi
- 844399 Pts & Acc Of Printers, Copiers And Fax Mach, Nesoi
- 851712 Phones For Cellular Ntwks Or For Oth Wireless Ntwk
- 854231 Processors And Controllers, Electronic Integ Cirt

Source: U.S. Census Bureau Foreign Trade September 25, 2018



What is the item?

<https://www.bis.doc.gov/index.php/regulations/commerce-control-list-cci>

Regulations


- Export Administration Regulations (EAR)
- Commerce Control List (CCL)
- Export Control Administration Act
- Export Control Regulations
- Export Control Administration Act
- Export Control Regulations
- Export Control Administration Act
- Export Control Regulations
- Export Control Administration Act
- Export Control Regulations

Commerce Control List (CCL)

The Commerce Control List (CCL) is a list of items that are controlled for export. It is maintained by the Department of Commerce. The CCL is divided into five product groups, and each item is assigned an ECCN (Export Control Classification Number).

Five Product Groups

1. Chemicals, Minerals, and Metals
2. Electronics
3. Computers
4. Telecommunications
5. Miscellaneous



What is the item?

<https://bis.doc.gov/index.php/forms-documents/regulations-docs/13-commerce-control-list-index/file>


Supplement No. 1 to Part 774 - Index I

ALPHABETICAL INDEX TO THE COMMERCE CONTROL LIST

This index is not an exhaustive list of controlled items.

Supplement No. 4 to Part 774 - Commerce Control List Order of Review
identifies the steps to follow when reviewing the Commerce Control List.


Description	ECCN Citation
Abblative liners, flint or combustion chambers	9A106.a, 9A619.c.e
Abria	1C351.d.1
Absolute reflectance measurement equipment	6B004.a
Absorbers of electromagnetic waves	1C001
Absorbers, hair type	1C001.a Note 1.a
Absorbers, non-planar & planar	1C001.a Note 1, b or c
Absorption columns	2B350.c
Accelerometers (electromagnetic radiation)	3A101.b
Accelerometer axis slip stations	7B003 or 7B101
Accelerometer test station	7B003 or 7B101
Accelerometers & components thereof	7A001
Accelerometers & accelerometer components	7A001
Acoustic beacons	6A001.a.1.b
Acoustic beam forming software	6D903.a.1
Acoustic hydrophone arrays, towed	6A001.a.2.b
Acoustic location & object detection systems or transmitting and receiving arrays	6A001.a.1.b
Acoustic, marine, terrestrial equipment	6A991
Acoustic mounts, noise reduction equipment for vessels	8A002.o.3.a
Acoustic-optic signal processing devices	3A001.e.3



Where is it going?

Where is the Item Going?

- Reasons for Control vary on a country-by-country basis.
- The Commerce Country Chart shows the Reasons for Control that are applicable to a given country of destination (*Supplement No. 1 to Part 738*).
- If your item's ECCN has a reason for control that applies to your country of destination, then a License Requirement applies!




Where is it going?

Commerce Country Chart

****Supplement No. 1 to Part 738 of the EAR****

Countries	Chemical & Biological Weapons			Nuclear Nonproliferation		National Security		Missile Tech		Regional Stability		Weapons Control		Anti-Terrorism	
	CB	CB	CB	NP	NP	NS	NS	MT	MT	RS	RS	WC	WC	AT	AT
Algeria	X	X	X	X		X	X	X	X	X	X		X		
Algeria ²³	X	X		X		X	X	X	X	X	X				
Algeria	X	X		X		X	X	X	X	X	X		X		X
Andorra	X	X		X		X	X	X	X	X	X		X		X
Angola	X	X		X		X	X	X	X	X	X		X		X
Antigua & Barbuda	X	X		X		X	X	X	X	X	X		X		X
Argentina	X			X		X	X	X	X	X	X		X		X
Armenia	X	X	X	X		X	X	X	X	X	X		X	X	
Aruca	X	X		X		X	X	X	X	X	X		X		X
Australia ³	X			X		X	X	X	X	X	X		X		X
Austria ³⁴	X			X		X	X	X	X	X	X		X		X
Azerbaijan	X	X	X	X		X	X	X	X	X	X		X	X	
Bahamas, The	X	X		X		X	X	X	X	X	X		X		X



What are some common destinations?

Frequent Export Destinations By Value for 2017 from Dallas Fort-Worth, TX

Reasons for Control	Chemical and Biological Weapons			Nuclear Nonproliferation		National Security		Missile Tech	Regional Stability		Firearms Convention	Crime Control			Anti-Terrorism	
	CB:1	CB:2	CB:3	NP:1	NP:2	NS:1	NS:2	MT:1	RS:1	RS:2	FC:1	CC:1	CC:2	CC:3	AT:1	AT:2
Korea, South	X					X		X	X			X	X			
Taiwan	X	X	X	X		X	X	X	X	X		X	X			
China	X	X	X	X		X	X	X	X	X		X	X			
Japan	X					X		X	X							
Singapore	X	X		X		X	X	X	X	X		X	X			
Germany	X					X		X	X							
Philippines	X	X		X		X	X	X	X	X		X	X			
Malaysia	X	X		X		X	X	X	X	X		X	X			
Belgium	X					X		X	X							
Netherlands	X					X		X	X							

Source: U.S. Census Bureau, Foreign Trade Statistics September 2018



Commerce Control List: ECCN and Reasons for Control

9A012 Non-military "Unmanned Aerial Vehicles," ("UAVs"), unmanned "airships", related equipment and "components", as follows (see List of Items Controlled).

ECCN

License Requirements

Reason for Control NS, MT, AT

Description

Reason(s) for Control

Control(s)	Country Chart (see sup No. 1 to part 738)
NS applies to entire entry	NS Column 1
MT applies to non-military Unmanned Air Vehicle (UAV) and Remotely Piloted Vehicles (RPV) that are capable of a maximum range of at least 500 kilometers (km), regardless of payload, and 9A012.b.5.	MT Column 1
AT applies to entire entry	AT Column 1

List Based License Exceptions (See Part 740 for a description of all license exceptions)

LFS: N/A
GGS: N/A
CIP: N/A



Remember those common destinations?

Frequent Export Destinations By Value for 2017 from Dallas Fort-Worth, TX

Reasons for Control	Chemical and Biological Weapons			Nuclear Nonproliferation		National Security		Missile Tech	Regional Stability		Firearms Convention	Crime Control			Anti-Terrorism	
	CB:1	CB:2	CB:3	NP:1	NP:2	NS:1	NS:2	MT:1	RS:1	RS:2	FC:1	CC:1	CC:2	CC:3	AT:1	AT:2
Korea, South	X					X		X	X			X	X			
Taiwan	X	X	X	X		X	X	X	X	X		X	X			
China	X	X	X	X		X	X	X	X	X		X	X			
Japan	X					X		X	X							
Singapore	X	X		X		X	X	X	X	X		X	X			
Germany	X					X		X	X							
Philippines	X	X		X		X	X	X	X	X		X	X			
Malaysia	X	X		X		X	X	X	X	X		X	X			
Belgium	X					X		X	X							
Netherlands	X					X		X	X							

Source: U.S. Census Bureau, Foreign Trade Statistics September 2018



Special Destinations & Embargoes Parts 742 and 746 of the EAR



- Iran
- Sudan
- Syria
- North Korea
- Cuba (new license exception)



Where is it going?

Country-Specific FAQs

Home | About BIS | Regulations | Licensing | Enforcement | Sanctions & Training | Entry Assistance | Anti Programs | Firms | Data

Cuba


FAQs

- Export of Shotguns to Canada
- Embassy FAQs
- Deemed Export FAQs
- Intrusion and Surveillance Items
- Russia Sanctions
- Regulations FAQs
- Frequently Asked Questions on BIS's New Boilerplate License Language
- Missile Technology FAQs
- Exports of Firearms and Related Items FAQs
- Sudan: Change of Licensing Policy for Exports of Civil Telecommunications-related Infrastructure Items to Sudan and Air an Eligible Destination for Exports of Consumer Communications Devices under License Exception CCI
- Sudan: Amendment to allow temporary export and reexport of items described in license exception Consumer Communications (CCI) as "tools of the trade" under license exception Temporary Import, Export, Reimport, and Transfer (to country) (TIFT)
- Technology and Software FAQs
- Entity List FAQs
- Validated End User Program FAQs

Russia

FAQs

- Export of Shotguns to Canada
- Embassy FAQs
- Deemed Export FAQs
- Intrusion and Surveillance Items
- Russia Sanctions
- Regulations FAQs
- Frequently Asked Questions on BIS's New Boilerplate License Language
- Missile Technology FAQs
- Exports of Firearms and Related Items FAQs
- Sudan: Change of Licensing Policy for Exports of Civil Telecommunications-related Infrastructure Items to Sudan and Air an Eligible Destination for Exports of Consumer Communications Devices under License Exception CCI
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- Technology and Software FAQs
- Entity List FAQs
- Validated End User Program FAQs



Who is involved?

Who is Involved?

The Consolidated Screening List (CSL)

The primary list of parties for which the U.S. Government maintains restrictions on certain exports, re-exports or transfers of items which includes:

- Commerce - BIS
- Denied Persons List
- Entity List
- Unverified List


State Department

- Nonproliferation Sanctions List
- Debarred Parties List

Treasury - Office of Foreign Assets Control

- Specially Designated Nationals List

- Foreign Sanctions Evaders
- Sectoral Sanctions Identification (SSI) List
- Palestinian Legislative Council (PLC)
- Part 561 List
- Non SDN Iranian Sanctions Act (NS-ISA) List



Who is involved?

export.gov
Helping U.S. Companies Export

https://www.export.gov/csl-search

Search the Consolidated Screening List

Search across screening lists at one time by filling in the search boxes below. If you get too many results, try including more information to the additional fields. If you get too few results, try searching one field at a time.

Keyword
Search for words in the name, alternate names (aliases), title of the entity, and additional remarks regarding the entity.

Name
Search for an entity's name or any of its aliases in the name.


Fuzzy Name
When used to enter the spelling of the name you search for, it may be correct to get results. When not to use, the spelling for the name you search for may be slightly off. Check the words for each word to determine how close a match it is to the entity's name or the alternative names. A score of 100 is an exact match. Results are ordered with the highest score first.

Address
Search for the street address, city, province, and postal code of an entity.

Sources
Choose which of the screen screening lists that you want to search.

Country
Choose which countries that you want to search. Note: the Nonproliferation Sanctions and OFAC Debarred lists are not because the country and an entity. If you do not see an option for a country, you will not be searching those lists.


SEARCH



How will it be used?

How is the Item Being Used?

- End Use/User Controls
Part 744 of the EAR
- May apply to all items subject to the EAR, including EAR99
- If any parties "know" or are "informed" of prohibited end-uses
- Proliferation end-users/uses – nuclear, missile technology, chemical and biological
- Restrictions on certain military end-uses



Licensed Transactions

SNAP- R

Executive Ministry and Security
U.S. Department of State

WARNING WARNING WARNING WARNING WARNING WARNING WARNING WARNING
 WARNING WARNING WARNING WARNING WARNING WARNING WARNING WARNING
USE OF THIS SYSTEM IS RESTRICTED AND MONITORED
 WARNING WARNING WARNING WARNING WARNING WARNING WARNING WARNING

You are accessing a U.S. Government information system, which includes: 1) the computer; 2) the computer network; 3) all computers connected to this network, and all devices and all storage media attached to the network or to a computer on the network. The operational and control of the following you may access this information system for authorized use only. You have no reasonable expectation of privacy regarding any communication of data transmitted or stored on this information system at any time and for any lawful Government purpose. The Government may monitor, intercept, and search and seize any communication or data transmitted or stored on this information system, and any construction or development of this information system may be disclosed or used for any U.S. Government purpose.

WARNING WARNING WARNING WARNING WARNING WARNING WARNING WARNING

LOGIN TO SNAP-R

Login ID:
 Password:
 CAP (Optional) (C):

Register online for a SNAP-R account

Privacy Act Statement

The Export Administration Act (EAA) of 1979, as amended (50 U.S.C. §§ 2401-2406) and EAA's successor provisions of the information. The primary use of this information is for the enforcement of:

- Export and Reexport Control Regulations
- Commodity Classification Procedures and
- Regulatory Control of Foreign Exchange



Licensed Transactions

WARNING WARNING WARNING WARNING WARNING WARNING WARNING WARNING
 WARNING WARNING WARNING WARNING WARNING WARNING WARNING WARNING
USE OF THIS SYSTEM IS RESTRICTED AND MONITORED
 WARNING WARNING WARNING WARNING WARNING WARNING WARNING WARNING

Company Information

Company Name:
 Address Line 1:
 Address Line 2:
 City:
 State (US only):
 Zip:
 Country:

Administrative Information

First Name:
 Last Name:
 Phone:
 Fax:
 Email Address:
 P.O. Box/Postal Address:

Confirmations

I am not an individual, but agree to the terms and conditions of the program established and I have authority to do so on behalf of the company.

I have read, understood, and agree to the terms and conditions of the program established and I have authority to do so on behalf of the company.



License Exceptions and Authorizations

Part 740

- An exception or authorization takes the place of a license, fulfilling a license requirement without the need to obtain a license.
- In most instances exporter does not require anything in writing from BIS
- Identified by acronym (e.g. LVS, TSR, STA)



Important Commerce License Exceptions Concepts

1) List-Based Exceptions

Availability is based on the ECCN

- Limited Value Shipments (LVS) C35
- Shipments to Group B Countries (GBS) C36
- Civil End Users (CIV) C37
- Technology Software and Restricted (TSR) C38
- Strategic Trade Authorization (STA) C59

2) Transaction-Based Exceptions

Availability is based on the terms of the transaction

- Temporary Imports, Exports, and Reexports (TMP) C40
- Service & Replacement of Parts & Equip. (RPL) C41
- Governments, International Organizations (GOV) C42
- Baggage (BAG) C45
- Technology & Software Unrestricted (TSU) C44

* When using a License Exception, you are certifying that the terms, provisions and conditions for use of the License Exception have been met.

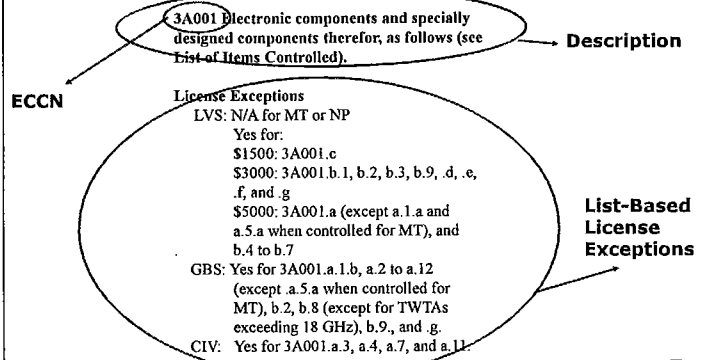


Country Groups for License Exceptions

- Country Groups are shown in Supplement No. 1 to Part 740 of the EAR
- Only certain Country Groups are Eligible for "List-Based" License Exceptions
 - LVS (C35), GBS (C36), and TSR (C38) are limited to Country Group B
 - CIV (C37) is limited to Country Group D:1



List-Based License Exceptions



No License Required (NLR)

Valid Use of C33 "NLR"

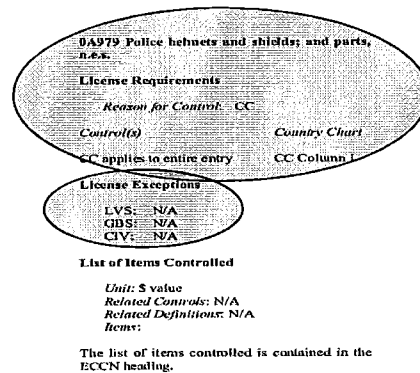
- ECCN with Anti-Terrorism (AT) control only, EAR99 items, or destined to Puerto Rico or the U.S. Virgin Islands (ECCN Recommended)
- ECCN with control other than or in addition to Anti-Terrorism (ECCN required)
- 600 Series or 9x515 to Canada (ECCN required)
- Effective April 1, 2017 C33 became the only NLR license type when C32 was removed, other than C60.

Valid Use of C60 "DY6"

- Required for .y subparagraph of "600 series" items
- AES filing exempt for shipments under \$2,500 or to Canada
- ECCN allowed but not required to be reported
- See AESTIR Appendix F for more info



Proper Reporting of NLR: Example 1



The Commerce Country Chart Supplement 1 to Part 738

Country	Chemical & Biotech. Weapons			Nuclear Materials		Internal Security		Missile Tech.			Regional Stability			Foreign Proliferation		Drug Control		Anti-Terrorism	
	CB1	CB2	CB3	NP1	NP2	NS1	NS2	MT1	RS1	RS2	FC1	CC1	CC2	CC3	AT1	AT2			
Algeria	X	X	X	X		X	X	X	X	X		X		X					
Albania	X	X		X		X	X	X	X	X		X	X						
Algeria	X	X		X		X	X	X	X	X		X		X					
Andorra	X	X		X		X	X	X	X	X		X		X					
Angola	X	X		X		X	X	X	X	X		X		X					
Anguilla	X	X		X		X	X	X	X	X		X		X					
Argentina	X			X		X	X	X	X	X	X			X					
Armenia	X	X	X	X		X	X	X	X	X		X	X						
Australia	X			X		X	X	X	X	X				X					
Austria	X			X		X	X	X	X	X		X	X						
Azerbaijan	X	X	X	X		X	X	X	X	X	X			X					
Bahamas	X	X		X		X	X	X	X	X	X			X					
Bahrain	X	X	X	X		X	X	X	X	X		X		X					
Bangladesh	X	X		X		X	X	X	X	X		X		X					
Barbados	X	X		X		X	X	X	X	X	X			X					
Belarus	X	X	X	X		X	X	X	X	X		X	X						
Belgium	X			X		X	X	X	X	X		X		X					

Q: For ECCN 0A097, which countries would you use the C33 NLR code in AESP?



Proper Reporting of NLR: Example 2

QUESTION: If you're a freight forwarder, and the shipper's letter of instruction doesn't list a classification for the item to be exported, what do you input into AES?

IMPORTANT NOTE:

Because about 96% of all exports are reported under the designation NLR,

*** BIS reviews these filings to ensure that exporters and their forwarders are not rubber stamping everything with NLR.



Proper Reporting of NLR: Example 3a

Commerce Control List Supplement No. 1 to Part 738 Category 3—page 51

Heading: JE991 "Technology" for the "development," "production" or "use" of electronic devices, "parts" or "components" controlled by JE991, general purpose electronic equipment controlled by JA992, or manufacturing and test equipment controlled by JE991 or JE992, or materials controlled by JC991.

License Requirements: Reason for Control: AT Control(s): Country Chart (See Supp. No. 1 to part 738).

AT applies to entire entry AT Column 1

List Based License Exceptions (See Part 740 for a description of all license exceptions): CIP: N/A. TSR: N/A.

List of Items Controlled: Reason for Control: N/A. Reason for Definition: N/A. Item:

The list of items controlled is contained in the ECCN heading.

EAR99 Items subject to the EAR that are not otherwise specified in this CCL Category or in any other category in the CCL are designated by the number EAR99.

Q: When your item is clearly EAR99, What NLR code do you use?



Proper Reporting of NLR: Example 3b

Commerce Control List Supplement No. 1 to Part 738 Category 3—page 51

Heading: JE991 "Technology" for the "development," "production" or "use" of electronic devices, "parts" or "components" controlled by JA991, general purpose electronic equipment controlled by JA992, or manufacturing and test equipment controlled by JE991 or JE992, or materials controlled by JC991.

License Requirements: Reason for Control: AT Control(s): Country Chart (See Supp. No. 1 to part 738).

AT applies to entire entry AT Column 1

List Based License Exceptions (See Part 740 for a description of all license exceptions): CIP: N/A. TSR: N/A.

List of Items Controlled: Reason for Control: N/A. Reason for Definition: N/A. Item:

The list of items controlled is contained in the ECCN heading.

EAR99 Items subject to the EAR that are not otherwise specified in this CCL Category or in any other category in the CCL are designated by the number EAR99.

Q: If you filed C33 NLR, would it be required that you report EAR99 as the ECCN?



Tools for Self-Classifying your Item

This screenshot shows a webpage with several sections of resources:

- Regulations:** Links to "AES publishes its updated self-Classification for the Export Administration Regulations (EAR) - Harmonization of the Destination Control Statement" and "AES publishes its updated self-Classification for the Export Administration Regulations (EAR) - Harmonization of the Destination Control Statement".
- Would you like to...:** Includes a "Speak to an Export Consultant" button and a "Request" button.
- In The News:** A "GSE Newsletter" link.
- Consolidated Screening List:** A link to "The Subchapter may be able to help you find export or re-export transactions".
- Export Control Reform:** Links to "Export Control Reform - Opening globally" and "Free-Trade Agreements and Workflows".
- Report Violations:** A link to "Reporting Possible Violations".
- Exporter Portal:** A link to "Exporting your goods or services abroad".
- Seminars:** A link to "May 14, 2015, Detroit, MI".



Tools for Self-Classifying your Item

This screenshot shows a grid of tool icons for self-classification:

- Export Control Basics:** Department of Commerce Department of State
- Lists to Check:** Verify any parties in question
- Decision Tree Tools:** Do you have a...? Do you have a...?
- SNAP-8 Login:** Check your status and request a new login
- Mail Modification:** Do you need to...? Do you need to...?
- Online Training Room:** Report violations before coming
- STELA Login:** The STELA application
- Search Countries:** Check with a country name or use the search tool
- EAR:** EAR - EAR Form



Tools for Self-Classifying your Item

This screenshot shows the Bureau of Industry and Security website with the "Export Control Classification Interactive Tool" highlighted. The tool interface includes a search bar, a "SEARCH" button, and a "RESULTS" section. The results show "101" and "101" with a "VIEW" button. The tool is titled "Export Control Classification Interactive Tool" and includes a "HELP" button.



Other Key Export Clearance Regulations

- 758.1 When AES Filing is Required
- 758.2 Grounds for Denial for AES Post-Departure Filing
- 758.3 Responsibilities of Parties/Routed
- 758.6 Destination Control Statement



Potential Outcomes of Failing to Identify an Export License Requirement



Failing to address a License Requirement can cause:

- Delays in exporting
- Fatal errors on your compliance record
- Violations of the EAR and FTR, incurring administrative or criminal penalties



OTE Contact Information

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BIS Contact Information

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Exporter Services Offices:

Washington, D.C. (202) 482-4811
 Southern California (949) 660-0144
 Northern California (408) 998-8806

Export Enforcement Offices

Nationwide (800) 424-2980

